

# MATH3611 / MATH5705

## Chapter 4: Topological spaces

# Convergence and topology

Recall that if  $(X, d)$  is a metric space, then the collection  $\mathcal{O}(X)$  has the following properties.

- ①  $\emptyset, X \in \mathcal{O}(X)$
- ② If  $\{V_i\}_{i \in I} \subseteq \mathcal{O}(X)$ , then  $\bigcup_{i \in I} V_i \in \mathcal{O}(X)$ .
- ③ If  $V_1, V_2 \in \mathcal{O}(X)$ , then  $V_1 \cap V_2 \in \mathcal{O}(X)$ .

## Definition

A topological space is a set  $X$  together with a set of subsets  $\tau = \mathcal{O}(X) \subseteq \mathcal{P}(X)$  satisfying:

- 1  $\emptyset, X \in \mathcal{O}(X)$
- 2 If  $\{V_i\}_{i \in I} \subseteq \mathcal{O}(X)$ , then  $\bigcup_{i \in I} V_i \in \mathcal{O}(X)$ .
- 3 If  $V_1, V_2 \in \mathcal{O}(X)$ , then  $V_1 \cap V_2 \in \mathcal{O}(X)$ .

**Example:** Let  $(X, d)$  be a metric space. Then we have already seen the *metric topology*  $\tau_d$ .

**Example:** Let  $X$  be any set. The *coarse topology* is  $\tau = \{\emptyset, X\}$ .

**Example:** Let  $X$  be any set. The *discrete topology* is  $\tau = \mathcal{P}(X)$ .

**Exercise:** Let  $X$  be a set. Show that the discrete topology on  $X$  is the metric topology of the discrete metric on  $X$ .



**Example:** Let  $X$  be any set. The *cofinite topology* is

$$\tau = \{Y \subseteq X : Y^c \text{ is finite}\} \cup \{\emptyset\}.$$

**Example:** Let  $X$  be any set. The *cocountable topology* is

$$\tau = \{Y \subseteq X : Y^c \text{ is countable}\} \cup \{\emptyset\}.$$

## Definition

Let  $(X, \tau)$  be a topological space, and let  $Y \subseteq X$ . The *subspace topology* (also called *relative topology*) is  $\tau|_Y = \{V \cap Y : V \in \tau\}$ .

**Exercise:** If  $(X, d)$  is a metric space and  $Y \subseteq X$ , then the subspace topology on  $Y$  coming from the metric topology on  $X$  is the same as the topology of the subset metric on  $Y$ . ( Formally:  $\tau_d|_Y = \tau_{d|_{Y \times Y}}$  .)

## Definition

Let  $(X, \tau)$  be a topological space. A subset  $Y \subseteq X$  is closed if  $Y^c$  is open.

As with metric spaces, denote the closed sets by  $\mathcal{C}(X)$ . Then we have:

- ①  $\emptyset, X \in \mathcal{C}(X)$
- ② If  $\{V_i\}_{i \in I} \subseteq \mathcal{C}(X)$ , then  $\bigcap_{i \in I} V_i \in \mathcal{C}(X)$ .
- ③ If  $V_1, V_2 \in \mathcal{C}(X)$ , then  $V_1 \cup V_2 \in \mathcal{C}(X)$ .

## Definition

Let  $(X, \tau)$  be a topological space.

- 1 Let  $x \in X$  be a point. An *open neighborhood* of  $x$  is a set  $V \in \tau$  such that  $x \in V$ . A *neighborhood* of  $x$  is any set containing an open neighborhood of  $x$ . We will denote the collection of neighborhoods of  $x$  by  $\text{Nbhd}(x)$ .
- 2 Let  $Y \subseteq X$  be a subset. The *interior* of  $Y$  is

$$\text{Int}(Y) = \{y \in Y : \exists V_y \in \text{Nbhd}(y) \text{ such that } V_y \subseteq Y\}.$$

**Exercise:** Show that

$$\text{Int}(Y) = \bigcup_{\{V \in \mathcal{T} : V \subseteq Y\}} V.$$

## Corollary

Let  $(X, \tau)$  be a topological space. For any subset  $Y \subseteq X$ , the interior  $\text{Int}(Y)$  is an open set.



## Definition

Let  $(X, \tau)$  be a topological space, and let  $Y \subseteq X$ .

- ① The *boundary* is

$$\text{Bd}(Y) = X \setminus (\text{Int}(Y) \sqcup \text{Int}(Y^c)).$$

- ② The *closure* is

$$\text{cl}(Y) = \text{Int}(Y) \cup \text{Bd}(Y).$$

**Exercise:** We have  $\text{cl}(Y) = \bigcap_{\{V \in \mathcal{C}(X): Y \subseteq V\}} V$ .

## Definition

Let  $(X, \tau)$  be a topological space. A sequence  $\{x_n\}_{n=1}^{\infty} \subseteq X$  converges to  $x \in X$  if for every  $V \in \text{Nbhd}(x)$ , there is a  $K(V) \in \mathbb{N}$  such that  $x_n \in V$  when  $n \geq K$ .

## Definition

Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces. A function  $f : X \rightarrow Y$  is continuous if for every  $V \in \tau_Y$ , we have  $f^{-1}(V) \in \tau_X$ .

## Theorem

Let  $(X, \tau_X)$ ,  $(Y, \tau_Y)$ , and  $(Z, \tau_Z)$  be topological spaces. If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous functions, then  $(g \circ f) : X \rightarrow Z$  is also continuous.

**Example:** Let  $X$  be a set with the coarse topology. Which sequences converge to which points?

**Example:** Let  $X = \mathbb{N}$  with the cofinite topology. Which sequences converge to which points?

**Example:** Let  $X = \mathbb{R}$  with the cocountable topology. Which sequences converge to which points?



## Definition

A topological space  $X$  has the *Hausdorff property* if for every pair of distinct points  $x, y \in X$ , there are neighborhoods  $V(x, y) \in \text{Nbhd}(x)$  and  $U(x, y) \in \text{Nbhd}(y)$  such that  $V(x, y) \cap U(x, y) = \emptyset$ .

**Exercise:** A sequence in a Hausdorff space has at most one limit.

**Example:** Consider  $\mathbb{R}$  with the cocountable topology. Is this a Hausdorff topology, and do sequences have unique limits?

**Example:** Let  $X = \{a, b\}$  and let  $\tau = \{\emptyset, \{a\}, X\}$ . Is this a Hausdorff space, and do sequences have unique limits?

**Exercise:** “Line with two origins”:  $\mathbb{R}$  with two copies of 0 (call them  $0_a$  and  $0_b$ ). We want an “open  $\epsilon$ -interval” around  $0_a$  to look like  $(-\epsilon, 0) \cup 0_a \cup (0, \epsilon)$ , and similarly for  $0_b$ . How can we define this topology precisely?

**Question:** Given a set  $X$ , how can we describe a topology on  $X$ ?

**Example:** In  $\mathbb{R}$ , with the standard topology, a set is open iff it is a countable disjoint union of open intervals.

**Exercise:** Show that not every open set in  $\mathbb{R}^2$  can be expressed as a disjoint union of open disks.



Let  $(X, d)$  be a metric space. Notice:

- 1  $Y \subseteq X$  is open iff  $Y$  is a union of open  $\epsilon$ -balls (we'll allow the empty union).
- 2  $Y \subseteq X$  is a neighborhood of  $x \in X$  iff  $Y$  contains an open  $\epsilon$ -ball around  $x$ .

## Definition

Let  $(X, \tau)$  be a topological space.

- ① A *base* for  $\tau$  is a subset  $\mathcal{B} \subset \tau$  such that every  $V \in \tau$  can be expressed as as a union of elements of  $\mathcal{B}$ :

$$V = \bigcup_{i \in I} V_i, \text{ where } V_i \in \mathcal{B}, \forall i \in I.$$

- ② A *local base* for  $\tau$  at a point  $x \in X$  is a collection  $\mathcal{LB}_x \subseteq \tau$  of open neighborhoods of  $x$  such that if  $U$  is any neighborhood of  $x$ , there is a  $V \in \mathcal{LB}_x$  such that  $V \subseteq U$ .

**Example:** If  $(X, \tau)$  is a topological space, then  $\tau$  is a base for itself. For any  $x \in X$ , the collection of all open neighborhoods of  $x$  is a local base for  $\tau$  at  $x$ .

**Example:** Let  $(X, d)$  be a metric space. Then

$$\mathcal{B} = \{B(x, \epsilon)\}_{x \in X, \epsilon > 0}$$

is a base for the metric topology. For any  $x \in X$ , the set

$$\mathcal{LB}_x = \{B(x, \epsilon)\}_{\epsilon > 0}$$

is a local base at  $x$ .

**Example:** In a metric space, we don't actually need all values of  $\epsilon$  for a base: the set

$$\mathcal{B} = \{B(x, \frac{1}{n})\}_{x \in X, n \in \mathbb{Z}_+}$$

is a base for the metric topology. For any  $x \in X$ , the set

$$\mathcal{LB}_x = \{B(x, \frac{1}{n})\}_{n \in \mathbb{Z}_+}$$

is a local base at  $x$

**Example:** Let  $X = \mathbb{R}^2$ . As we have seen, all of the metrics  $d_p, p \geq 1$  give the same topology. So this topology has a base consisting of open disks, a base of open diamonds, etc. But you can describe many others - let's try a couple.

**Example:** Let  $X$  be a set with the discrete topology. Then the set of singleton sets  $\mathcal{B} = \{\{x\}\}_{x \in X}$  is a base. For each  $x \in X$ , the set  $\mathcal{LB}_x = \{\{x\}\}$  is a local base at  $x$ .

**Exercise:** Let  $(X, \tau)$  be a topological space. If  $\mathcal{B}$  is a base for  $\tau$ , then for any  $x \in X$ , the set  $\mathcal{LB}_x = \{V \in \mathcal{B} : x \in V\}$  is a local base at  $x$ . Conversely, if we have a local base  $\mathcal{LB}_x$  for each point  $x \in X$ , then  $\mathcal{B} = \bigcup_{x \in X} \mathcal{LB}_x$  is a base.



## Theorem

Let  $X$  be a set, and let  $\mathcal{B} \subseteq \mathcal{P}(X)$  be a collection of subsets. Then

$$\tau = \{V \subseteq X : V \text{ is a union of sets in } \mathcal{B}\}$$

is a topology iff the following conditions hold:

- ①  $\bigcup_{V \in \mathcal{B}} V = X$  ("  $\mathcal{B}$  covers  $X$  ")
- ② for every  $V_1$  and  $V_2$  in  $\mathcal{B}$  and every  $x \in V_1 \cap V_2$ , there is  $V \in \mathcal{B}$  such that  $x \in V \subseteq V_1 \cap V_2$ .

**Exercise:** Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces, and suppose  $\mathcal{B}$  is a base for  $\tau_Y$ . Then a function  $f : X \rightarrow Y$  is continuous iff for every  $V \in \mathcal{B}$ , we have  $f^{-1}(V) \in \tau_X$ .

**Exercise:** Let  $(X, \tau)$  be a topological space, and suppose that  $\mathcal{LB}_x$  is a local base for  $\tau$  at  $x \in X$ . Then a sequence  $\{x_n\}_{n=1}^{\infty}$  converges to  $x$  iff for every  $V \in \mathcal{LB}_x$ , there is a  $K(V)$  such that  $x_n \in V$  for all  $n \geq K$ .

## Definition

Let  $X$  be a set, and let  $S \subseteq \mathcal{P}(X)$  be any collection of subsets. Define  $\mathcal{B}$  to be the set of all **finite** intersections of sets in  $S$ :

$$\mathcal{B} = \{V_1 \cap \dots \cap V_n : V_k \in S, k = 1, \dots, n\}.$$

(We allow the empty intersection  $X$ ). Then  $\mathcal{B}$  satisfies the conditions for a base in the previous theorem (why?), so

$$\tau(S) = \{V \subseteq X : V \text{ is a union of sets in } \mathcal{B}\}$$

is a topology. We call  $S$  a *subbase* for  $\tau(S)$ , and say that  $\tau$  is generated by  $S$ .

### Exercise:

- ① Let  $X$  be a set, and let  $\{\tau_i\}_{i \in I}$  be a set of topologies on  $X$ . Then

$$\tau = \bigcap_{i \in I} \tau_i$$

is a topology.

- ② Let  $X$  be a set, and let  $S \subseteq X$  be a subset. Show that  $\tau(S)$ , as defined above, is the intersection of all topologies on  $X$  which contain  $S$ .

**Example:** The set of infinite open intervals

$S = \{(a, \infty)\}_{a \in \mathbb{R}} \cup \{(-\infty, a)\}_{a \in \mathbb{R}}$  is a subbase for the standard topology on  $\mathbb{R}$ .

**Example:** The set of open half-planes is a subbase for the standard topology on  $\mathbb{R}^2$ .

**Example:** Let  $X$  be a set, and let  $S = \{X \setminus \{a\}\}_{a \in X}$ . What is the topology  $\tau(S)$  generated by  $S$ ?



**Example:** Let  $S = \{[a, b]\}_{a < b \in \mathbb{R}}$ . What is the topology  $\tau(S)$  on  $\mathbb{R}$ ?

**Exercise:** Let  $S$  be a subbase for a topology  $\tau$ . Then a sequence  $\{x_n\}_{n=1}^{\infty}$  converges to  $x$  iff for every  $V \in S$  such that  $x \in V$ , there is a  $K(V)$  such that  $x_n \in V$  for  $n \geq K$ .

# Topologies defined by modes of convergence

General procedure for defining a topology for a type of convergence:

- 1 Start by writing down precisely what we mean by convergence
- 2 Use the description of convergence to say what “open neighborhoods” should look like
- 3 Define the topology generated by such neighborhoods

# Pointwise convergence

Let  $X$  be any set, and let  $Y = F(X, \mathbb{R})$  be the set of real-valued functions on  $X$ . A sequence of functions  $\{f_n\}_{n=1}^{\infty}$  converges to  $f$  pointwise if

$\forall x \in X, \epsilon > 0, \exists K(x, \epsilon)$  such that  $\forall n \geq K$ , we have

$$|f_n(x) - f(x)| < \epsilon.$$

**Question:** How can we rephrase that last part as  $f_n$  eventually belonging to some “neighborhood” of  $f$ ?

The set

$$V = \{g \in Y : |g(x) - f(x)| < \epsilon\} \subseteq Y$$

depends on three things:

- 1 a point  $x \in X$
- 2 a number  $f(x) \in \mathbb{R}$
- 3 a number  $\epsilon > 0$

## Definition

Let  $X$  be a set and let  $Y = F(X, \mathbb{R})$ . For each  $x \in X$ ,  $y \in \mathbb{R}$ , and  $\epsilon > 0$ , define

$$V_{x,y,\epsilon} = \{g \in Y : |g(x) - y| < \epsilon\}.$$

Then let

$$S = \{V_{x,y,\epsilon}\}_{x \in X, y \in \mathbb{R}, \epsilon > 0}.$$

Finally, define the topology of pointwise convergence to be  $\tau_{pt} = \tau(S)$ , the topology generated by the subbase  $S$ .

**Exercise:** The topology  $\tau_{pt}$  is Hausdorff.

## Theorem

A sequence of functions  $f_n : X \rightarrow \mathbb{R}$  converges pointwise to  $f$  iff  $f_n \rightarrow f$  in the topology  $\tau_{pt}$ .



A base for  $\tau_{pt}$  is given by finite intersections of elements of  $S$ . We can visualise these for  $X = [0, 1]$  as *gate sets*.

# Weak convergence

## Definition

Let  $\mathbf{H}$  be a Hilbert space, such as  $\mathbb{R}^n$  or  $\ell^2$ . We say that a sequence of vectors  $\{\mathbf{x}_n\}_{n=1}^{\infty}$  converges *weakly* to a vector  $\mathbf{x} \in \mathbf{H}$  if for every vector  $\mathbf{y} \in \mathbf{H}$ , we have

$$\langle \mathbf{x}_n, \mathbf{y} \rangle \rightarrow \langle \mathbf{x}, \mathbf{y} \rangle.$$

**Exercise:** Show that if a sequence of vectors in a Hilbert space converges in the norm topology, then it converges weakly.

**Example:** Consider the sequence of standard basis vector  $\{\mathbf{e}_n\}_{n=1}^{\infty}$  in  $\ell_2$  (where  $(\mathbf{e}_n)_k = \delta_{n,k}$ ). Then  $\mathbf{e}_n$  converges to  $\mathbf{0}$  weakly but not in norm.

A sequence  $\{\mathbf{x}_n\}_{n=1}^{\infty}$  converges weakly to  $\mathbf{x}$  if for every  $\mathbf{y} \in \mathbf{H}$ , we have  $\langle \mathbf{x}_n - \mathbf{x}, \mathbf{y} \rangle \rightarrow 0$ .

This means that for every  $\mathbf{y} \in \mathbf{H}$  and every  $\epsilon > 0$ , there is a  $K(\mathbf{y}, \epsilon)$  such that  $\forall n \geq K$ , we have

$$|\langle \mathbf{x}_n - \mathbf{x}, \mathbf{y} \rangle| < \epsilon.$$

How can we rephrase this last part as  $\mathbf{x}_n$  eventually belonging to some “neighborhood” of  $\mathbf{x}$ ?

The set

$$V_{\mathbf{x}, \mathbf{y}, \epsilon} = \{\mathbf{z} : |\langle \mathbf{z} - \mathbf{x}, \mathbf{y} \rangle| < \epsilon\}$$

depends on three things: vectors  $\mathbf{x}$  and  $\mathbf{y}$ , and a number  $\epsilon > 0$ .

## Definition

Let  $\mathbf{H}$  be a Hilbert space, and for each  $\mathbf{x}, \mathbf{y} \in \mathbf{H}$  and  $\epsilon > 0$ , let

$$V_{\mathbf{x}, \mathbf{y}, \epsilon} = \{\mathbf{z} \in \mathbf{H} : |\langle \mathbf{z} - \mathbf{x}, \mathbf{y} \rangle| < \epsilon\}.$$

Let

$$S = \{V_{\mathbf{x}, \mathbf{y}, \epsilon}\}_{\mathbf{x}, \mathbf{y} \in \mathbf{H}, \epsilon > 0}.$$

The *weak* topology on  $\mathbf{H}$  is  $\tau_{weak} = \tau(S)$ .

## Exercise:

- 1 The weak topology is Hausdorff.
- 2 A sequence  $\{\mathbf{x}_n\}_{n=1}^{\infty}$  converges weakly to  $\mathbf{x}$  in  $\mathbf{H}$  if it converges in the topology  $\tau_{weak}$ .



# Slice sets

The weak topology agrees with the standard topology on  $\mathbb{R}^n$ .

## Definition

Let  $(a, b)$  be an open interval in  $\mathbb{R}$ . A sequence of functions is said to converge compactly if it converges uniformly on every closed subinterval  $[c, d] \subseteq (a, b)$ .

**Exercise:** Define a topology  $\tau_{cpt}$  on  $C((0, 1), \mathbb{R})$  (the set of continuous functions from the open interval  $(0, 1)$  to  $\mathbb{R}$ ) such that a sequence of functions converges compactly iff it converges in the topology  $\tau_{cpt}$ .

## Definition

A topological space  $(X, \tau)$  is said to be:

- ① *First countable* if every point in  $X$  has a countable local base for  $\tau$ .
- ② *Second countable* if  $X$  has a countable base for  $\tau$ .

### Example:

- ① Every metric space is first countable.
- ②  $\mathbb{R}$  is second countable.
- ③ The cofinite topology on  $\mathbb{N}$  is second countable.

## Definition

A topological space is *separable* if it contains a countable dense subset.

## Exercise:

- 1 Every second countable topological space is separable.
- 2 Every separable *metric* space is second countable.

### Exercise:

- 1 For each  $1 \leq p < \infty$ , the space  $\ell^p$  is separable.
- 2 The space  $\ell^\infty$  is not separable.



**Exercise:** Show that  $\mathbb{R}$  with the cocountable topology is **not** first countable.

Recall that if  $(X, d)$  is a metric space, then  $Y \subseteq X$  is closed iff  $Y$  contains the limits of all of its sequences. Is this true in a topological space?

**Example:** Consider  $\mathbb{R}$  with the cocountable topology.

## Definition

Let  $(X, \tau)$  be a topological space. A local base  $\{V_n\}_{n \in \mathbb{N}}$  at a point  $x$  is called *nested* if  $V_n \subseteq V_m, \forall n \geq m$ .

**Exercise:** Show that a point in a first countable space always has a nested local base.

**Exercise:** Show that if  $(X, \tau)$  is a first countable topological space and  $Y \subseteq X$ , then every point in  $\text{cl}(Y)$  is the limit of a sequence in  $Y$ .

## Theorem

Let  $(X, \tau)$  be a first countable topological space. Then a subset  $Y \subseteq X$  is closed iff for every sequence in  $Y$  which converges (in  $X$ ), the limit is in  $Y$ .

# Nets

A sequence is a function from  $\mathbb{N}$  to some set or space. When we talk about the limit of a sequence as  $n \rightarrow \infty$ , we are using the fact that the index set/domain  $\mathbb{N}$  has a *direction*: there is a clear meaning to moving “further along” in the sequence.

## Definition

A *directed set* is a set  $\Lambda$ , together with a binary relation  $\leq$  satisfying, for all  $i, j, k \in \Lambda$ :

- ①  $i \leq i$
- ②  $i \leq j \ \& \ j \leq k \implies i \leq k$
- ③  $\exists m \in \Lambda$  such that  $i, j \leq m$ .



**Example:** Any totally ordered set is directed (under either  $\leq$  or  $\geq$ !).

### Example:

There are many ways to make  $\mathbb{N} \times \mathbb{N}$  a directed set. Here are a couple:

- $(m_1, n_1) \leq (m_2, n_2)$  iff  $m_1 \leq m_2$  and  $n_1 \leq n_2$
- $(m_1, n_1) \leq (m_2, n_2)$  iff either  $m_1 > m_2$  or  $m_1 = m_2$  and  $n_1 \geq n_2$

**Example:** Let  $(X, \tau)$  be a topological space, and fix  $x \in X$ . Then  $\text{Nbhd}(x)$  is a directed set, with  $U \leq V$  iff  $V \subseteq U$ . (Note the direction!)

**Example:** Let  $S$  be the set whose *tagged partitions* of  $[0, 1]$ , meaning partitions of  $[0, 1]$  into finitely many subintervals, together with choices of points from each of these subintervals.

Define the *mesh* of a tagged partition to be the maximum length of its subintervals. Then for two tagged partitions  $s$  and  $t$ , we say that  $s \geq t$  if  $s$  has a *smaller* mesh than  $t$ .

## Definition

A *net* is a function from a directed set  $(\Lambda, \leq)$  to a set  $X$ . As for sequences, we'll use the notation  $\{x_\lambda\}_{\lambda \in \Lambda}$ .

## Definition

Let  $(X, \tau)$  be a topological space. A net  $\{x_\lambda\}_{\lambda \in \Lambda}$  converges to a point  $x \in X$  if for every neighborhood  $V$  of  $x$ , there is an  $\alpha(V) \in \Lambda$  such that  $x_\lambda \in V$  when  $\lambda \geq \alpha$ .

**Example:** Let  $X = \mathbb{R}$  with the standard topology, and let  $\Lambda = \mathbb{R}$  with the standard direction  $\leq$ . Then a  $\Lambda$ -net  $\{f(x)\}_{x \in \mathbb{R}}$  is simply a function  $f : \mathbb{R} \rightarrow \mathbb{R}$ . What does it mean for this net to converge? What if you use the opposite direction  $\geq$ ?

**Example:** Let  $X = \mathbb{R}$ , and let  $\Lambda = \mathbb{N} \times \mathbb{N}$ , with one of the two directions described above. Consider the net  $\{\frac{1}{n+1}\}_{(m,n) \in \mathbb{N} \times \mathbb{N}}$ . Does this net converge?



**Example:** Let  $X = \mathbb{R}$ , and let  $\Lambda$  be the directed set of tagged partitions of  $[0, 1]$ . Fix a function  $f : [0, 1] \rightarrow \mathbb{R}$ . Then we can define the Riemann net  $\{f_\lambda\}_{\lambda \in \Lambda}$ , where for a tagged partition

$$\lambda = [0, x_1], x_1^*; [x_1, x_2], x_2^*; \dots; [x_{n-1}, 1], x_n^*,$$

we define the Riemann sum

$$f_\lambda = \sum_{k=1}^n f(x_k^*)(x_k - x_{k-1}).$$

What does it mean for this net to converge?

**Exercise:** A net in a Hausdorff space has at most one limit.

**Exercise:** Let  $(X, \tau)$  be a topological space, and let  $Y \subseteq X$ . Then any point in  $\text{cl}(Y)$  is the limit of a net in  $Y$ .

## Theorem

*Let  $(X, \tau)$  be a topological space. The  $Y \subseteq X$  is closed iff  $Y$  contains the limits of all of its convergent nets.*

# Comparison of topologies

## Definition

Suppose  $\tau$  and  $\sigma$  are two topologies on a set  $X$  such that  $\tau \subseteq \sigma$ . Then we say that  $\tau$  is *coarser* and  $\sigma$  is *finer*.

If  $\tau$  is a coarser topology than  $\sigma$ , and  $\{x_\lambda\}_{\lambda \in \Lambda}$  is a net in  $X$  which converges to a point  $x$  with respect to  $\sigma$ , then  $\{x_\lambda\}_{\lambda \in \Lambda}$  also converges to  $x$  with respect to  $\tau$ .

## Example:

- 1 For any set  $X$ , the coarse topology is coarser than any other topology, and the discrete topology is finer than any other topology.
- 2 On  $C[0, 1]$ , the  $\|\cdot\|_\infty$  topology is finer than the  $\|\cdot\|_p$  topology for any  $p$ , and is also finer than the pointwise topology. (It is also true that the  $\|\cdot\|_p$  topology is finer than the  $\|\cdot\|_q$  topology for  $p > q$ ).
- 3 On  $C[0, 1]$ , the  $\|\cdot\|_1$  topology and the pointwise topology are incomparable.
- 4 On a Hilbert space, the norm topology is finer than the weak topology.



## Definition

Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces. A bijection  $f : X \rightarrow Y$  is called a *homeomorphism* if both  $f$  and  $f^{-1}$  are continuous. We say that  $X$  and  $Y$  are *homeomorphic* if there exists a homeomorphism  $f : X \rightarrow Y$ .

**Exercise:** Show that homeomorphism satisfies the properties of an equivalence relation.

**Exercise:** Show that  $\mathbb{R}$  is homeomorphic to  $(0, 1)$  (with the standard topologies).

**Exercise:** Give an example of a continuous bijection that is not a homeomorphism.

Two metric  $(X, d_X)$  and  $(Y, d_Y)$  are said to be *isometric* if there is a bijection  $f : X \rightarrow Y$  such that

$$d_Y(f(x_1), f(x_2)) = d_Y(x_1, x_2), \quad \forall x_1, x_2 \in X.$$

### Definition

Two metric spaces  $(X, d_X)$  and  $(Y, d_Y)$  are said to be *equivalent* if there is a bijection  $f : X \rightarrow Y$  and constants  $k, K > 0$  such that

$$k \cdot d_X(x_1, x_2) \leq d_Y(f(x_1), f(x_2)) \leq K \cdot d_X(x_1, x_2), \quad \forall x_1, x_2 \in X.$$

Two normed spaces  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are said to be *equivalent* if there is a bijection  $f : X \rightarrow Y$  and constants  $k, K > 0$  such that

$$k \cdot \|\mathbf{x}\|_X \leq \|f(\mathbf{x})\|_Y \leq K \cdot \|\mathbf{x}\|_X, \quad \forall \mathbf{x}_1, \mathbf{x}_2 \in X.$$

## Exercise:

- 1 Show that each of the two the relations defined above satisfies the properties of an equivalence relation.
- 2 Show that if two normed spaces are equivalent (as normed spaces), then the two metric spaces coming from the norms are equivalent (as metric spaces).
- 3 Show that if two metric spaces are equivalent (as metric spaces), then the two topological spaces coming from the metrics are homeomorphic.
- 4 Give an example of two metric spaces which are **not** equivalent as metric spaces, but whose metric topologies are homeomorphic.

# Homeomorphism invariants

## Definition

A topological space  $(X, \tau)$  is *connected* if it is not the union of two disjoint nonempty open subsets. A subset  $Y \subseteq X$  is connected if it is connected in the subspace topology.



**Exercise:** Unpack the definition of what it means for a subset of a topological space to be connected.

### Example:

- ① A discrete space (with at least two points) is not connected.
- ② The set of invertible  $n \times n$  matrices,  $GL_n(\mathbb{R}) \subseteq M_n(\mathbb{R})$ , is not connected in the norm topology inherited from  $M_n(\mathbb{R})$ .

## Theorem

*The interval  $[0, 1]$  is connected.*

## Theorem

Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces, and suppose  $f : X \rightarrow Y$  is a continuous function. If  $X$  is connected, then so is  $f(X)$  (as a subset of  $Y$ ).

## Lemma

Let  $(X, \tau)$  be a topological space, and suppose that  $\{W_i\}_{i \in I}$  are connected subsets such that  $\bigcap_{i \in I} W_i \neq \emptyset$ . Then  $\bigcup_{i \in I} W_i$  is connected.

Let  $(X, \tau)$  be a topological space. For each  $x \in X$ , define

$$\text{Comp}(x) = \bigcup_{\{V \subseteq X: x \in V \text{ \& } V \text{ is connected}\}} V.$$

Now, for points  $x$  and  $y$  in  $X$ , we'll say  $x \sim^{ct} y$  if  $y \in \text{Comp}(x)$ .

**Exercise:** Show that  $\sim^{ct}$  is an equivalence relation on  $X$ .

## Definition

The equivalence classes of  $X$  under  $\sim^{\text{ct}}$  are called the *connected components* of  $X$ .



**Example:** Show that a plus sign is not homeomorphic to a minus sign.

**Question:** Are connected components open and/or closed?

**Exercise:** Let  $(X, \tau)$  be a topological space, and let  $Y \subseteq X$  be a connected subset. Show that  $\text{cl}(Y)$  is connected.

**Exercise:** Connected components are closed.

**Example:** What are the connected components of  $\{0\} \cup \{\frac{1}{n}\}_{n \in \mathbb{Z}_+}$  (in the standard topology inherited from  $\mathbb{R}$ )?

## Definition

A topological space  $(X, \tau)$  is *path-connected* if for every pair of points  $x, y \in X$ , there is a continuous function  $f : [0, 1] \rightarrow X$  such that  $f(0) = x$  and  $f(1) = y$ . A subset  $Y \subseteq X$  is path-connected if it is path-connected in the subspace topology.

## Theorem

*If a topological space is path-connected, then it is connected.*

**Exercise:** Consider the set  $\{(x, \sin \frac{1}{x})\}_{x \in \mathbb{R} \setminus \{0\}} \cup \{(0, 0)\} \subseteq \mathbb{R}^2$ . Show that this space (with the subspace topology inherited from  $\mathbb{R}^2$ ) is connected but not path-connected.



**Example:** The space  $(C[0, 1], \|\cdot\|_\infty)$  is connected.

**Example:** The spaces  $(0, 1)$  and  $(0, 1]$  are not homeomorphic.

**Question:** Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces. How can we define a topology on the Cartesian product  $X \times Y$ ?

## Definition

Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces. The product topology on  $X \times Y$  is the topology generated by the base

$$\{U \times V\}_{U \in \tau_X, V \in \tau_Y}.$$

**Exercise:** Show that the product topology on  $\mathbb{R} \times \mathbb{R}$  (where each copy of  $\mathbb{R}$  has the standard topology) is the standard topology on  $\mathbb{R}^2$ .

**Exercise:** Let  $\mathbb{T}$  be the unit circle in the complex plane, with the standard topology. Describe the product topology on  $\mathbb{T} \times \mathbb{T}$ .

**Exercise:** Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces, and suppose that  $\mathcal{B}_X$  and  $\mathcal{B}_Y$  are bases for  $\tau_X$  and  $\tau_Y$ , respectively. Then  $\{U \times V\}_{U \in \mathcal{B}_X, V \in \mathcal{B}_Y}$  is a base for the product topology on  $X \times Y$ .

**Question:** What about an arbitrary (possibly infinite) collection of topological spaces  $\{(X_i, \tau_i)\}_{i \in I}$ ? Can we similarly put a topology on the Cartesian product  $\prod_{i \in I} X_i$ ?



## Definition

Let  $\{(X_i, \tau_i)\}_{i \in I}$  be a collection of topological spaces. The *box topology*  $\prod_{i \in I} X_i$  is the topology with base

$$\mathcal{B} = \left\{ \prod_{i \in I} U_i : U_i \in \tau_i, \forall i \in I \right\}$$

**Example:** Consider  $\mathbb{R}^{\mathbb{N}}$  (infinite Cartesian power of  $\mathbb{R}$ , i.e. the set of real sequences), with the box topology coming from the standard topology on each copy of  $\mathbb{R}$ . Show that the sequence

$$(1, 0, 0, \dots), (0, \frac{1}{2}, 0, \dots), (0, 0, \frac{1}{3}), \dots$$

does **not** converge to the zero sequence.

## Definition

Let  $\{(X_i, \tau_i)\}_{i \in I}$  be a set of topological spaces. The *product topology* on  $\prod_{i \in I} X_i$  is the topology generated by the base

$$\left\{ \prod_{i \in I} U_i : U_i \in \tau_i, \forall i \in I \text{ \& } U_i = X_i \text{ for all but finitely many } i \in I \right\}.$$

**Exercise:** Show that a sequence in  $\mathbb{R}^N$  with the product topology converges iff it converges pointwise.

**Exercise:** More generally, let  $X$  be a set. Show that the product topology on  $\mathbb{R}^X = F(X, \mathbb{R})$  is the topology of pointwise convergence.