## MATH3611 — Final Solutions

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- 1. (15 points) Set Theory
  - (a) (5 points) i.  $|A| \leq |B|$

**Solution:** means there exists an **injective** map  $f: A \to B$ .

ii. |A| = |B|

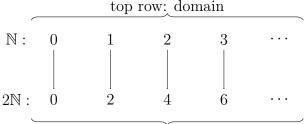
**Solution:** means there exists a **bijective** map  $f: A \to B$ .

iii. |A| < |B|

**Solution:** means  $\exists$  injective but not surjective map  $A \to B$ .

iv. Prove that  $|\mathbb{N}| = |2\mathbb{N}|$ .

**Solution:** Consider  $f: \mathbb{N} \to 2\mathbb{N}$  given by f(n) = 2n. It is clearly injective and surjective. The correspondence is illustrated below.



bottom row: codomain

Thus f is a bijection and  $|\mathbb{N}| = |2\mathbb{N}|$ .

(b) (10 points) i. State the Schroder–Bernstein Theorem. This is also known as the Cantor Bernstein Theorem.

**Solution:** If  $|A| \leq |B|$  and  $|B| \leq |A|$  then |A| = |B|.

ii. If A is infinite, show  $|\mathbb{N}| \leq |A|$ .

**Solution:** Pick distinct  $a_0, a_1, \dots \in A$  recursively;  $n \mapsto a_n$  is injective  $\mathbb{N} \hookrightarrow A$ .

iii. Deduce  $|A \cup \mathbb{N}| = |A|$  for infinite A.

**Solution:** Trivially  $|A| \leq |A \cup \mathbb{N}|$ . From (b) get injection  $\mathbb{N} \hookrightarrow A$ ; combine with inclusion  $A \hookrightarrow A$  to build an injection  $A \cup \mathbb{N} \hookrightarrow A$  by sending  $n \mapsto a_{2n+1}$  and  $a_k \mapsto a_{2k}$ . Apply Schröder-Bernstein.

iv. If A is countably infinite prove that  $|\mathbb{N}| \leq |A|$ .

**Solution:** Since A is countably infinite, there exists a bijection  $h : \mathbb{N} \to A$ , hence a fortiori an injection  $\mathbb{N} \hookrightarrow A$ . Therefore  $|\mathbb{N}| < |A|$ .

- 2. (13 points) Metric Spaces
  - (a) Define a Metric Space (X, d).

**Solution:** A metric space is a set X with  $d: X \times X \to [0, \infty)$  such that for all  $x, y, z \in X$ :

$$d(x,y) = 0 \iff x = y, \quad d(x,y) = d(y,x), \quad d(x,z) \le d(x,y) + d(y,z).$$

(b) Define an open set  $Y \subseteq X$ .

**Solution:**  $U \subseteq X$  is open if for each  $x \in U$  there exists r > 0 with the open ball  $B(x,r) = \{y \in X : d(x,y) < r\} \subseteq U$ .

(c) Define a boundary point.

**Solution:** A point  $x \in X$  is a boundary point of  $A \subseteq X$  if every open ball B(x,r) meets both A and  $X \setminus A$ . The boundary is  $\partial A = \operatorname{cl}(A) \setminus \operatorname{Int}(A)$ .

(d) (4 points) Prove that the interior of Y is open.

**Solution:** By definition,

$$Int(Y) = \bigcup \{ B(x,r) : x \in Y, \ r > 0, \ B(x,r) \subseteq Y \},\$$

a union of open balls. Unions of open sets are open, so Int(Y) is open.

3. (5 points) Suppose  $\limsup x_n = a$  and  $\limsup x_n = b$ . Prove a = b.

**Solution:** Assume a < b and set  $\varepsilon = \frac{b-a}{3}$ . By the lim sup characterization, eventually  $x_n < a + \varepsilon$ , but for infinitely many  $n, x_n > b - \varepsilon$ . Thus for some n,

$$b - \varepsilon < x_n < a + \varepsilon \implies b - a < 2\varepsilon = \frac{2}{3}(b - a),$$

a contradiction. Symmetrically  $b \leq a$ . Hence a = b.

- 4. (11 points) Norm Topology
  - (a) Define a Normed Space.

**Solution:** A normed space is a vector space V over  $\mathbb{R}$  or  $\mathbb{C}$  with  $\|\cdot\|:V\to[0,\infty)$  such that for all  $x,y\in V$ ,  $\alpha$  scalar:

$$||x|| = 0 \iff x = 0, \quad ||\alpha x|| = |\alpha| \, ||x||, \quad ||x + y|| \le ||x|| + ||y||.$$

(b) Define a Banach Space.

**Solution:** A Banach space is a complete normed space, i.e. every Cauchy sequence converges in norm to a limit in the space.

(c) Consider a Cauchy sequence  $(f_n)_{n\geq 1}$  in the  $\|\cdot\|_{\infty}$  norm. Prove that  $(f_n)$  converges pointwise.

**Solution:** Let X be any set and  $f_n: X \to \mathbb{R}$  (or  $\mathbb{C}$ ). Since  $(f_n)$  is Cauchy in  $\|\cdot\|_{\infty}$ , for all  $\varepsilon > 0 \exists N \text{ s.t. } \|f_n - f_m\|_{\infty} < \varepsilon \text{ for } m, n \geq N$ . Fix  $x \in X$ . Then

$$|f_n(x) - f_m(x)| \le ||f_n - f_m||_{\infty} < \varepsilon \quad (m, n \ge N),$$

so  $(f_n(x))$  is Cauchy in  $\mathbb{R}$  (or  $\mathbb{C}$ ) and hence convergent. Define  $f(x) = \lim_{n \to \infty} f_n(x)$ . Thus  $f_n \to f$  pointwise.

(d) Hence or otherwise prove that the limit f is continuous (under the standard hypothesis).

**Solution:** If each  $f_n$  is *continuous* and  $f_n \to f$  in  $\|\cdot\|_{\infty}$  (i.e. uniformly), then f is continuous as a uniform limit of continuous functions. (No compactness assumption is needed for this implication.)

(e) Show  $c_{00}$  with the  $\ell_1$  metric is not complete.

**Solution:** Let  $x^{(n)} = (1, 1/2, \dots, 1/2^{n-1}, 0, 0, \dots) \in c_{00}$ . For m > n,

$$||x^{(m)} - x^{(n)}||_1 = \sum_{k=n}^{m-1} 2^{-k} \le 2^{-(n-1)} \xrightarrow{n \to \infty} 0,$$

so  $(x^{(n)})$  is Cauchy. In  $\ell^1$ ,  $x^{(n)} \to x = (1, 1/2, 1/4, \ldots)$ , but  $x \notin c_{00}$ . Hence  $c_{00}$  is not complete.

- 5. (11 points) Topology, Compactness
  - (a) Define a Hausdorff Space.

**Solution:**  $(X, \tau)$  is Hausdorff if for all  $x \neq y$  there exist disjoint  $U, V \in \tau$  with  $x \in U$ ,  $y \in V$ .

(b) Define a compact space.

**Solution:**  $(X, \tau)$  is compact if every open cover admits a finite subcover.

(c) Consider

$$\tau = \{\emptyset, \mathbb{R}\} \cup \{(-t, t) \subset \mathbb{R} : t > 0\}.$$

i. Define a topology.

**Solution:** A topology  $\tau$  on X is a collection of subsets of X containing  $\varnothing$  and X, closed under arbitrary unions and finite intersections. Members of  $\tau$  are the open sets.

ii. Prove  $\tau$  is a topology on  $\mathbb{R}$ .

**Solution:**  $\emptyset$ ,  $\mathbb{R} \in \tau$  by definition. Arbitrary unions: a union of sets  $(-t_i, t_i)$  is either  $\mathbb{R}$  (if  $t_i$  unbounded) or (-T, T) with  $T = \sup_i t_i$ ; both in  $\tau$ , and unions with  $\mathbb{R}$  give  $\mathbb{R}$ . Finite intersections:  $(-s, s) \cap (-t, t) = (-\min\{s, t\}, \min\{s, t\}) \in \tau$ , and intersections with  $\mathbb{R}$  return the other set. Hence  $\tau$  is a topology.

iii. Find the limit(s) of the sequence  $x_n = (-1)^n$  in  $(\mathbb{R}, \tau)$ .

**Solution:** Nontrivial basic neighborhoods are (-t,t) about 0. For  $y \neq 0$ , the only open set containing y is  $\mathbb{R}$ , so the neighborhood condition is vacuous and *every* sequence converges to y. For 0, neighborhoods are (-t,t); since  $(-1)^n \notin (-t,t)$  for t < 1, the sequence is not eventually in any neighborhood of 0. Therefore  $(-1)^n$  converges to every  $y \in \mathbb{R} \setminus \{0\}$  and to no other point.

(d) Let X be Hausdorff and  $Y \subseteq X$  compact. Prove Y is closed in X.

**Solution:** For  $x \in X \setminus Y$  and each  $y \in Y$ , choose disjoint opens  $U_y \ni x$ ,  $V_y \ni y$ . The  $\{V_y\}_{y\in Y}$  cover Y, so compactness yields  $y_1, \ldots, y_k$  with  $Y \subset \bigcup_{i=1}^k V_{y_i}$ . Then  $U = \bigcap_{i=1}^k U_{y_i}$  is an open neighborhood of x disjoint from Y. Hence  $X \setminus Y$  is open, so Y is closed.