

# MATH3611 | Assignment 3

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## § Question 1

**Lemma 1.1.** For  $x, y \in \mathbb{R}^3$  define

$$d_1(x, y) = \sum_{i=1}^3 |x_i - y_i|, \quad d_\infty(x, y) = \max_{1 \leq i \leq 3} |x_i - y_i|.$$

Then

$$d_\infty(x, y) \leq d_1(x, y) \leq 3 d_\infty(x, y).$$

*Proof. Lower bound.* The maximum of non-negative numbers is never larger than their sum, so  $d_\infty(x, y) \leq d_1(x, y)$ .

*Upper bound.* Since each term  $|x_i - y_i| \leq d_\infty(x, y)$ , summing over  $i = 1, 2, 3$  yields  $d_1(x, y) \leq 3 d_\infty(x, y)$ .  $\square$

**Theorem 1.2.** The metrics  $d_1$  and  $d_\infty$  induce the same topology on  $\mathbb{R}^3$ .

*Proof.* Fix  $x \in \mathbb{R}^3$  and  $\varepsilon > 0$ .

**$d_1$ -open  $\implies d_\infty$ -open.** If  $y \in B_1(x, \varepsilon)$ , then  $d_\infty(x, y) \leq d_1(x, y) < \varepsilon$ ; hence

$$B_1(x, \varepsilon) \subset B_\infty(x, \varepsilon).$$

Thus every  $d_1$ -open set is  $d_\infty$ -open.

**Converse ( $\Leftarrow$ ).** Let  $y \in B_\infty(x, \varepsilon)$ . By the lemma,  $d_1(x, y) \leq 3 d_\infty(x, y) < 3\varepsilon$ , so

$$B_\infty(x, \varepsilon) \subset B_1(x, 3\varepsilon).$$

Hence every  $d_\infty$ -open set is  $d_1$ -open.

Because each topology is contained in the other, they coincide.  $\square$

## § Question 2

**Definition 2.1.**

$$\tau = \{ \emptyset \} \cup \{ U \subset \mathbb{R} : \mathbb{R} \setminus U \text{ is countable} \}$$

**Theorem 2.1** (A sequence  $(x_n)_{n \in \mathbb{N}} \subset \mathbb{R}$  converges in  $(\mathbb{R}, \tau)$  iff it is eventually constant).

$$\exists K \in \mathbb{N} : \forall n \geq K : x_n = x \iff x_n \xrightarrow{\tau} x$$

*Proof.* ( $\implies$ ) Assume  $x_n \xrightarrow{\tau} x$ . Set

$$C := \{ x_n : x_n \neq x \}$$

to be the countable collection of terms different from  $x$ , and set

$$U := \mathbb{R} \setminus C \cup \{ x \}$$

to be the neighbourhood.

Since  $\mathbb{R} \setminus U = C$  is countable,  $U \in \tau$  and  $x \in U$ .

By convergence, there exists  $K$  such that  $x_n \in U$  for all  $n \geq K$ . But if any  $n \geq K$  with  $x_n \neq x$ , then  $x_n \in C = \mathbb{R} \setminus U$  which contradicts  $x_n \in U$ . Hence  $x_n = x \forall n \geq K$ .

( $\impliedby$ ) Conversely, suppose  $x_n = x$  for all  $n \geq K$ . Let  $U \in \tau$  be any neighbourhood of  $x$ . Then  $x_n \in U$  whenever  $n \geq K$ , so  $x_n \xrightarrow{\tau} x$ . □

**Corollary 2.1.1.** *For the sequence*

$$x_n = \begin{cases} 1, & n \text{ odd}, \\ 1 - \frac{1}{n}, & n \text{ even} \end{cases}$$

*no tail is constant, hence*

$$x_n \not\xrightarrow{\tau} x \quad \text{for any } x \in \mathbb{R}$$

*Proof.* The set of odd indices is infinite so  $x_{2k-1} = 1$  occurs infinitely often. Likewise, the even subsequence  $(1 - \frac{1}{2k})_{k \geq 1}$  takes infinitely many distinct values. Thus the sequence  $(x_n)_{n \in \mathbb{N}}$  cannot be eventually constant and by 2.1 does not converge in the co-countable topology. □

## § Question 3

**Theorem 3.1** (Uniform convergence on closed sub-intervals). *Let*

$$S(x) = \sum_{n=0}^{\infty} a_n x^n, \quad x \in \mathbb{R},$$

*be a power series with (finite) radius of convergence  $R > 0$ . Then for every  $\varepsilon > 0$  the series  $S$  converges uniformly on the closed interval*

$$[-R + \varepsilon, R - \varepsilon].$$

*Proof.* Fix  $\varepsilon > 0$  and set  $r := R - \varepsilon > 0$ . Let  $I = [-r, r]$ .

For  $n \in \mathbb{N}$  define  $f_n(x) := a_n x^n$  on  $I$ .

Because  $|x| \leq r$  for all  $x \in I$ ,

$$|f_n(x)| \leq |a_n| r^n =: M_n \quad (x \in I).$$

Since  $|r| < R$ , the power series converges *absolutely* at  $x^* = r$ ; hence the series  $\sum_{n=0}^{\infty} M_n = \sum_{n=0}^{\infty} |a_n| r^n$  converges.

With  $|f_n(x)| \leq M_n$  for every  $x \in I$ , the Weierstrass  $M$ -test guarantees that  $\sum_{n=0}^{\infty} f_n(x)$  converges uniformly on  $I$ , i.e.

$$\sum_{n=0}^{\infty} a_n x^n \text{ converges uniformly on } [-R + \varepsilon, R - \varepsilon].$$

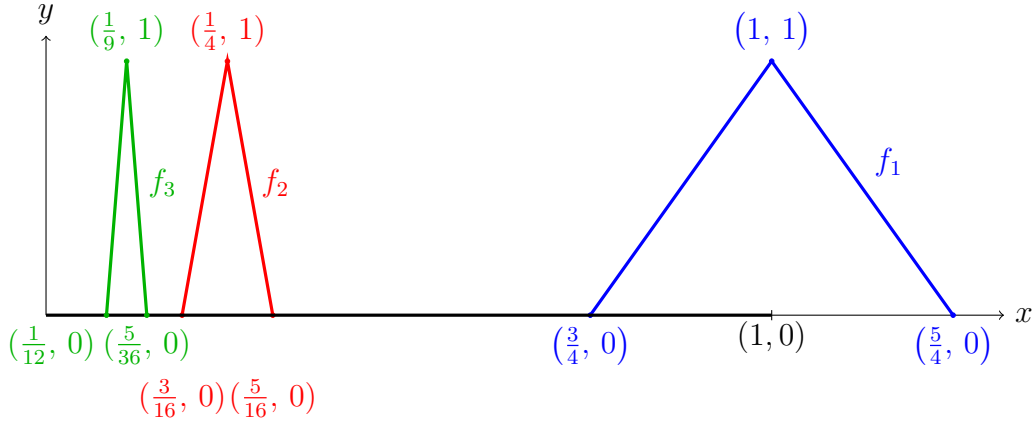
□

## § Question 4

For each integer  $k \geq 1$  define

$$f_k : [0, 1] \longrightarrow \mathbb{R}, \quad f_k(x) = \max\{0, 1 - 4k^2|x - \frac{1}{k^2}|\}.$$

a) **Sketches of  $f_1, f_2, f_3$ .**



b) **Support of  $f_k$ .**

Solve  $1 - 4k^2|x - \frac{1}{k^2}| > 0 \iff |x - \frac{1}{k^2}| < \frac{1}{4k^2}$ . Hence

$$\text{supp}(f_k) = \left(\frac{3}{4k^2}, \frac{5}{4k^2}\right) \cap [0, 1], k \geq 1$$

whereby,

$$\text{supp}(f_1) = \left(\frac{3}{4}, 1\right], \quad \text{supp}(f_k) = \left(\frac{3}{4k^2}, \frac{5}{4k^2}\right) \quad (k \geq 2).$$

c) **Pointwise convergence and failure of uniform convergence of**

$$S(x) := \sum_{k=1}^{\infty} \frac{f_k(x)}{k}, \quad x \in [0, 1].$$

(i) *Pointwise convergence.*

Fix  $x \in (0, 1]$ . The inequality  $\frac{3}{4k^2} < x < \frac{5}{4k^2}$  is equivalent to

$$A(x) := \sqrt{\frac{3}{4x}} < k < B(x) := \sqrt{\frac{5}{4x}}.$$

Whose length is

$$L(x) := B(x) - A(x) = \frac{\sqrt{5} - \sqrt{3}}{2\sqrt{x}} < \frac{0.253}{\sqrt{x}} < \infty,$$

so the interval holds at most  $\lceil L(x) \rceil$  integers. Hence only finitely many  $k$  satisfy  $f_k(x) \neq 0$ ; the series  $S(x)$  reduces to a finite sum and converges. For  $x = 0$  every term is 0, so  $S(0) = 0$ . Thus  $S$  converges for every  $x \in [0, 1]$ .

(ii) *Failure of uniform convergence.*

Let  $S_N(x) := \sum_{k=1}^N \frac{f_k(x)}{k}$ . For  $N \geq 10$  choose

$$x_N := \frac{1}{N^2} \in [0, 1].$$

**Claim 1.** *For every  $k \in [N+1, N + \lfloor N/10 \rfloor]$  we have  $f_k(x_N) \geq \frac{1}{2}$ .*

*Proof.* For such  $k$ ,

$$\left| x_N - \frac{1}{k^2} \right| = \frac{|k^2 - N^2|}{k^2 N^2} = \frac{(k - N)(k + N)}{k^2 N^2} \leq \frac{(N/10)(11N/10)}{k^2 N^2} < \frac{11}{100 k^2} < \frac{1}{8 k^2} < \frac{1}{4 k^2},$$

so  $x_N \in \text{supp}(f_k)$  and  $f_k(x_N) = 1 - 4k^2|x_N - \frac{1}{k^2}| \geq \frac{1}{2}$ . □

Therefore the tail  $T_N(x) := \sum_{k>N} \frac{f_k(x)}{k}$  satisfies

$$T_N(x_N) \geq \frac{1}{2} \sum_{k=N+1}^{N+\lfloor N/10 \rfloor} \frac{1}{k} \geq \frac{1}{2} \ln \left( 1 + \frac{1}{10} \right) =: c > 0 \quad (N \geq 10),$$

using  $\sum_{k=m}^n \frac{1}{k} \geq \ln \frac{n}{m}, \forall n, m \in \mathbb{N}, n \geq m \geq 1$ :

$$\|S - S_N\|_\infty = \sup_{x \in [0,1]} |S(x) - S_N(x)| \geq |T_N(x_N)| \geq c \quad \text{for all } N \geq 10,$$

so  $\|S - S_N\|_\infty \not\rightarrow 0$ . The convergence of the series is therefore *not* uniform on  $[0, 1]$ .